

## Alford and the destabilizing forces that lead to fluid whirl/whip



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The following reply was sent by Agnes Muszynska to Dan Lubell, Sundstrand Power Systems, San Diego, CA, in response to an e-mail he sent after reading the March 1998 Orbit.

He asks, "My question is in reference to the article on pump vibrations, 'ADRE® for Windows - instrumental in solving a complex vibration problem on a boiler feed-water pump.' There is mention of a 0.87X sub-sync due to 'pump whirl.' Pump whirl is a new term for me, and I was hoping I could be pointed in the direction of finding more about it. Is it an incompressible version of Alford's Force?"

According to D. Childs (1993), H. Thomas suggested in 1958 that nonsymmetric clearances caused by eccentric operation of a steam turbine rotor can create destabilizing forces ("clearance excitation").

Most of Thomas' papers were written in German, and were not popular in the United States. Subsequently, J. Alford (1965) identified the same mechanism when analyzing stability problems of gas turbines. Since then, excitation forces due to clearance changes around the periphery of a turbine rotor were popularly called "Alford forces." H. Black (1974) suggested that pump impellers could also develop similar destabilizing forces. Since 1974, a large number of

papers have been written based on theoretical analyses and various experimental tests conducted at many research centers.

Bently Rotor Dynamic Research Corporation (BRDRC) followed Black's original research on pumps [3, 4], generally adopting the strategy that the rotating machine represents a **system**. The system must include solid elastic elements, as well as the fluid. BRDRC, through extensive modal perturbation testing in the laboratory, **identified** the

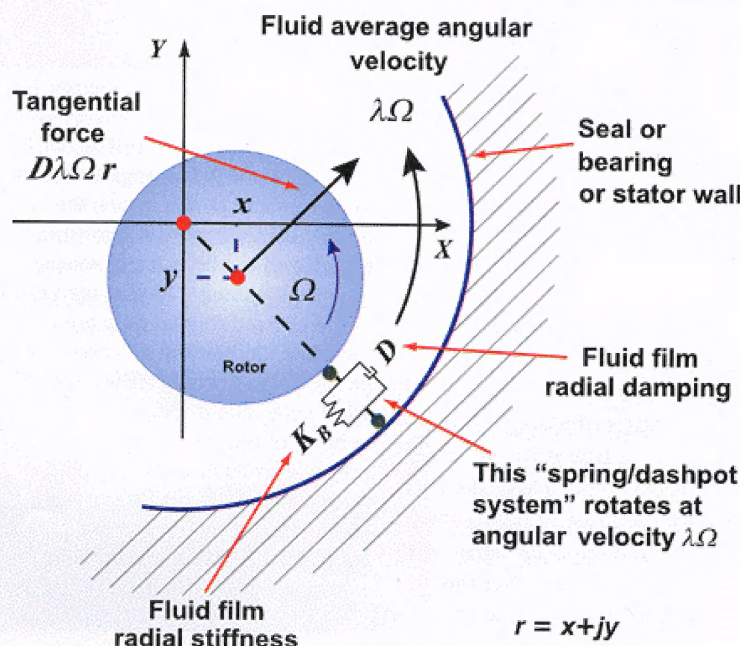


Figure 1. Fluid film model for rotor rotating in limited radial clearance ( $\Omega$  is rotative speed).



fluid force model in radial clearances, such as in bearings, seals, or rotor-to-stator peripheries (including pump/compressor impeller/diffuser clearances) [7]. The fluid force model is based on the rotor-rotation-related, forward circumferential flow strength. If there are no special fluid preswirl, antismall, or other tangential injections, the average angular velocity of this flow is a fraction of the rotor rotative speed. This fraction is called the “fluid circumferential average velocity ratio,”  $\lambda$  (lambda). It is a decreasing function of the rotor eccentricity (at an eccentricity ratio of “1,” when the rotor touches the stationary part, lambda is equal to zero; the circumferential forward flow is replaced by other flow patterns; for example, axial and/or partially reverse circumferential). The average angular velocity has a physical meaning: it is the angular velocity at which the fluid damping force rotates [6,8]. Note, therefore, that the **dashpot** commonly used in the damping modeling is not stationary in the clearance, it **rotates** (Figure 1)!

All flow-related “destabilizing forces” have the same characteristic (not only flow-related; for example, there exist similar “electromagnetic” destabilizing forces in electric machines). They are **tangential** forces (perpendicular to the rotor radial displacement), depending on the rotor lateral displacement. In linear rotordynamic modeling they are represented by coefficients of off-diagonal elements in the 2x2 “stiffness” matrix (the diagonal elements represent true stiffnesses). Usually these two off-diagonal elements have the same or nearly the same values and opposite signs. These signs indicate whether the forces are “forward” (same as the direction of rotation) or backward (against the direction of rotation, as in the case of a dry rub).

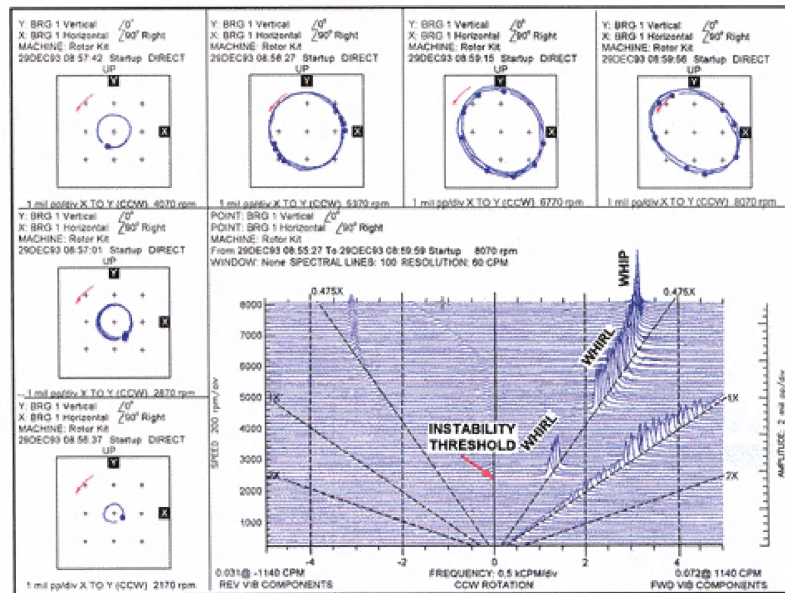


Figure 2. Rotor lateral vibrations during startup which contain fluid whirl and whip self-excited components.

While the Thomas and Alford definitions of the destabilizing tangential forces do not involve rotative speed, they do refer to “steam tangential efficiency” and torque. Both these parameters can be directly related to the resulting rotor rotative speed. A difference, however, exists in terms of the source of energy. In turbine peripheries, the energy is provided by the fluid itself (active system), while in bearings and seals of pumps and compressors, the energy comes from the rotor rotation driven by the external torque (passive systems). The average values of the circumferential flow are, therefore, different in all cases. Some people think that the frequency of whirl subsynchronous vibrations has to be  $\frac{1}{2}$  of the rotative speed. This is not so, even in any bearing. The truth is that they can be **any** frequency, if the circumferential flow is appropriately modified using an external energy source.

The “destabilizing effect” observed in machinery in the form of rotor subsynchronous lateral vibrations with almost circular for-

ward orbits (Figure 2) is the final result of the tangential force action **exceeding** the linear range. These subsynchronous vibrations are limit cycles of the self-excited vibrations, determined by the system nonlinearities and sustained by the rotating energy provided by the rotor. In “active” systems, the energy comes directly from the fluid. “Fluid whirl” and “fluid whip” are the best known of these vibrations (a special case is a “rotating stall”). Fluid whirl and whip are generic terms which include all previously used specific names, such as “oil whirl,” “oil whip,” “steam whip,” “pumping whirl,” “aerodynamic whip,” etc.

Fluid whirl is characterized by a frequency which is proportional to the rotor rotative speed and corresponds to one of the fluid-related natural frequencies of the system. The coefficient of this proportionality is slightly lower than the value of lambda. Note that the value [lambda times rotative speed] is close to one of the fluid-related natural frequencies of the system [6,8]



Fluid whip is characterized by a constant, or nearly constant, frequency corresponding to one of the classical "mechanical" natural frequencies of the **system**, most often the one related to the lowest bending mode of the rotor. Note, however, that practically any mode of the system can get involved.

In summary, the physical phenomena in rotor-to-stationary part radial, conical, or even axial clearances (balance piston!), filled with fluid (often, just air!) are very similar; the fluid gets involved in the circumferential motion. This motion creates tangential destabilizing forces. The final results of their action are measurable, unwelcome, mostly subsynchronous, vibrations of the rotor, either of the whirl or whip type (Figure 3).

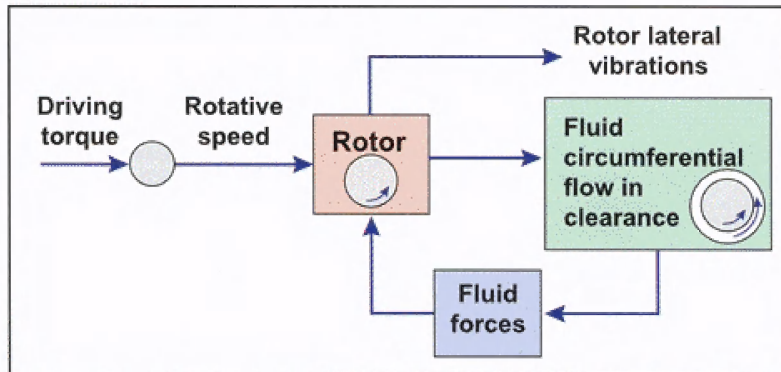


Figure 3. Rotor/fluid system flow diagram.

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## Highest professional degree awarded by President of Poland

**A**gnes Muszynska was awarded the highest professional degree, that of Professor of Technical Sciences, by the President of Poland in a ceremony at the Presidential Palace in Warsaw on 2 July 1998. President Aleksander Kwasniewski presented her with the certificate of professorship nomination during a group ceremony honoring all current recipients and attended by their extended family and friends.

Professor Muszynska, a native of Poland, had earned her Ph.D., and the next highest degree of Habilitation, in Technical Sciences in 1966 and 1977, respectively. She has lectured worldwide and is the author of over 250 papers and several manuals on mechanical vibration theory, vibration control, and rotating machinery dynamics. She has

organized many international scientific meetings: recently she was the Chairperson of the Organizing Committee for the ISROMAC-7 Symposium in Honolulu, Hawaii in February 1998. She has been the editor of many scientific publications and has received several national and international awards.

At the ceremony, she was introduced as Research Manager and Senior Research Scientist from Bently Rotor Dynamics Research Corporation, Minden, Nevada. She was accompanied by Dr. Ryszard Nowicki, Senior Sales Engineer and manager of the BNC operation in Poland.



From right to left: Prof. Dr. hab. Agnieszka (Agnes) Muszynska, Mr. Aleksander Kwasniewski, President of Poland, and Dr. Ryszard Nowicki.

*Congratulations,  
Agnes!*